

# Central Monitoring by Data Management

Edit checks, logical checks, automatic review of data,  
trend analyses...

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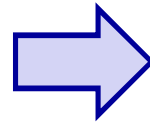
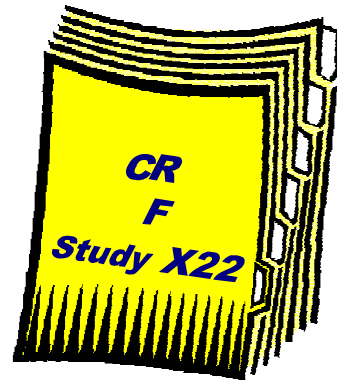
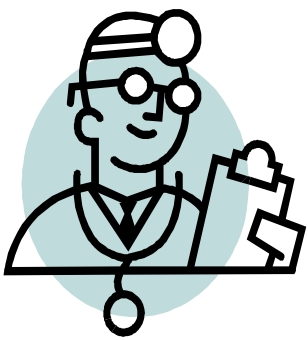
Stockholm

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# Agenda

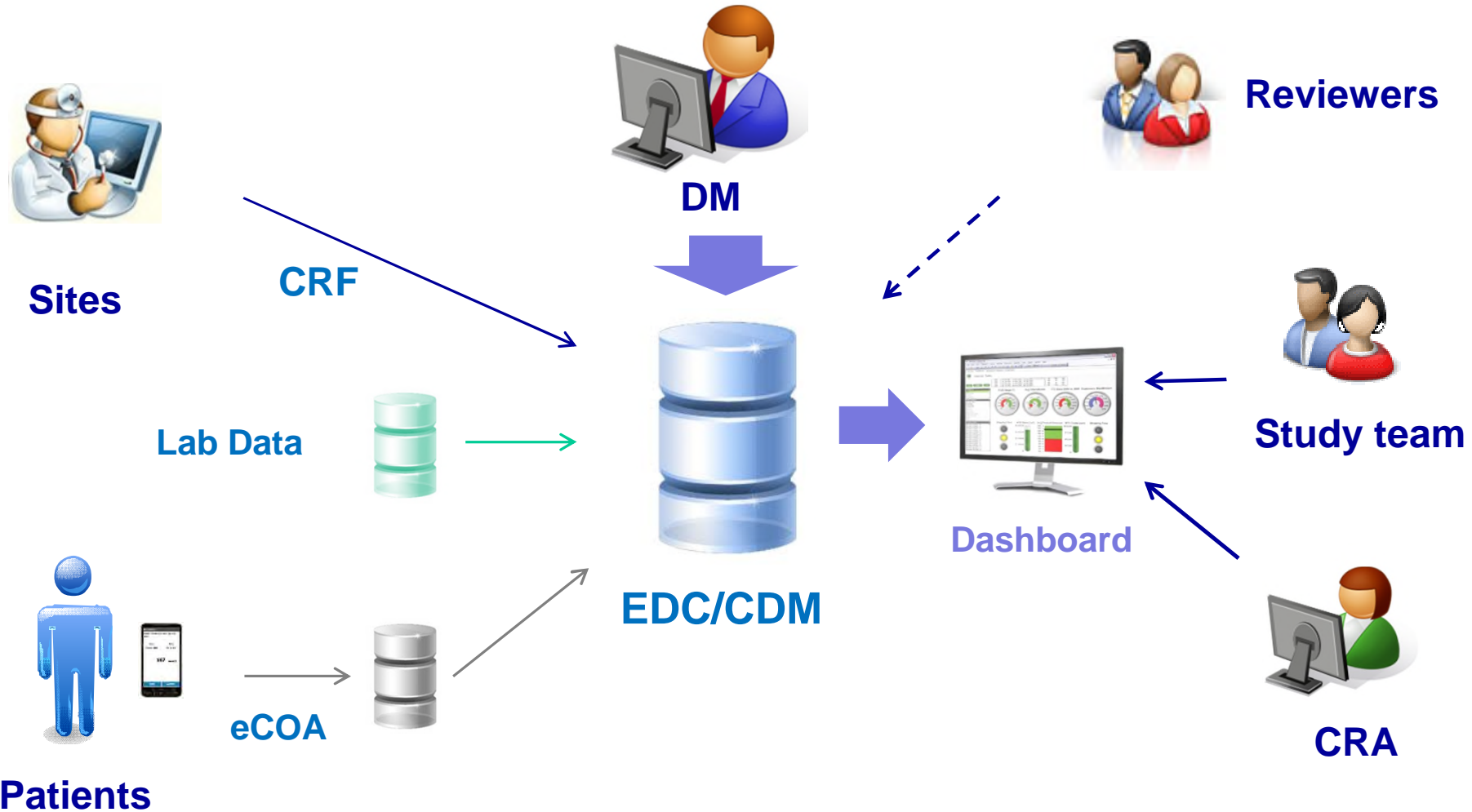
- DM Contribution to Data Quality
  - Data Management Plan
  - Edit checks
  - External Data
  - Listings and Reports
- RBQM & RBM
- Central Monitoring

# CRF: from Paper to EDC



- **New Workflow => New Tempo**

# Near Real Time Data Flow



# Data Management Plan

- Team approach to develop the DMP with all stakeholders
- Identify and define the personnel and roles involved with decision making, data collection, data handling and data quality control

# Edit Checks (1/2)

- On-line Edit Checks
  - Triggered immediately after an entry (within the screen/page)
    - Range of acceptable values (threshold, limit...)
    - Data type (numeric, text...)
    - Derived or calculated value
    - Date

Is there a limit?

# Edit Checks (2/2)

- Off-line Edit Checks
  - Triggered « nightly » at the server level (CDMS)
    - Cross-domain to ensure consistency between different sections of the CRF

Edit checks must be appropriate

# External Data : ePRO, Lab Data...

- Which external data in the eCRF/EDC?
  - to streamline the process
  - for the patient benefit
- Which actors should be allowed to view external data?
  - Unblinding risks
- When in the study time line?
  - At the patient pre-selection time
  - Study on going



# External Data: Review Committees

- Academic Research Organisation, Central Reader, Data Monitoring Committee
  - How to collect the assessments or analyses?
    - External documents with signatures
    - In a « mixed » system (hospital)
      - « validated » with an audit-trail (or not) => due diligence
    - In the eCRF (ID/password for the experts)
  - When in the study time line?
    - At the patient pre-selection time
    - Study on going

# Reports and listings

- Reports and listings for
  - Study team
  - Data reviews
  - Risk Based Monitoring
  - QA
- Standard listings (dump)
- Pre-configured business intelligence tools

# Reports and listings

- Ad-hoc reports
  - Complex logics (protocol compliance)
  - Data analytics with statistical functions
    - Trend Analyses and **Outliers**
  - Draft Clinical Study report
- Validation of edit checks and reports
  - Reviewer
    - Clinical, Statistical, Programming expertise
  - Test datasets

# Reports and listings

- Expected Actions by the Reviewer
  - Queries to investigate the discrepancies
  - Site re-training
  - Non-compliance with protocol
    - Eventually report to ethics
  - Suspicion of data fraud
    - =>RBM and RBQM

# RBQM & RBM

- Impact on Data Quality and Data Integrity?
- Challenges for Clinical Data Managers
- Interactions with other stakeholders
  - Clinical Operations
  - Investigators
- New Role and new Skill for DMs?

# Quality by Design eCRF

- Some key success factors:
  - A stabilized Protocol
  - A team Approach
  - A Risk Analysis
  - A Data Collection Strategy
  - A realistic Timeline



# Central Monitoring

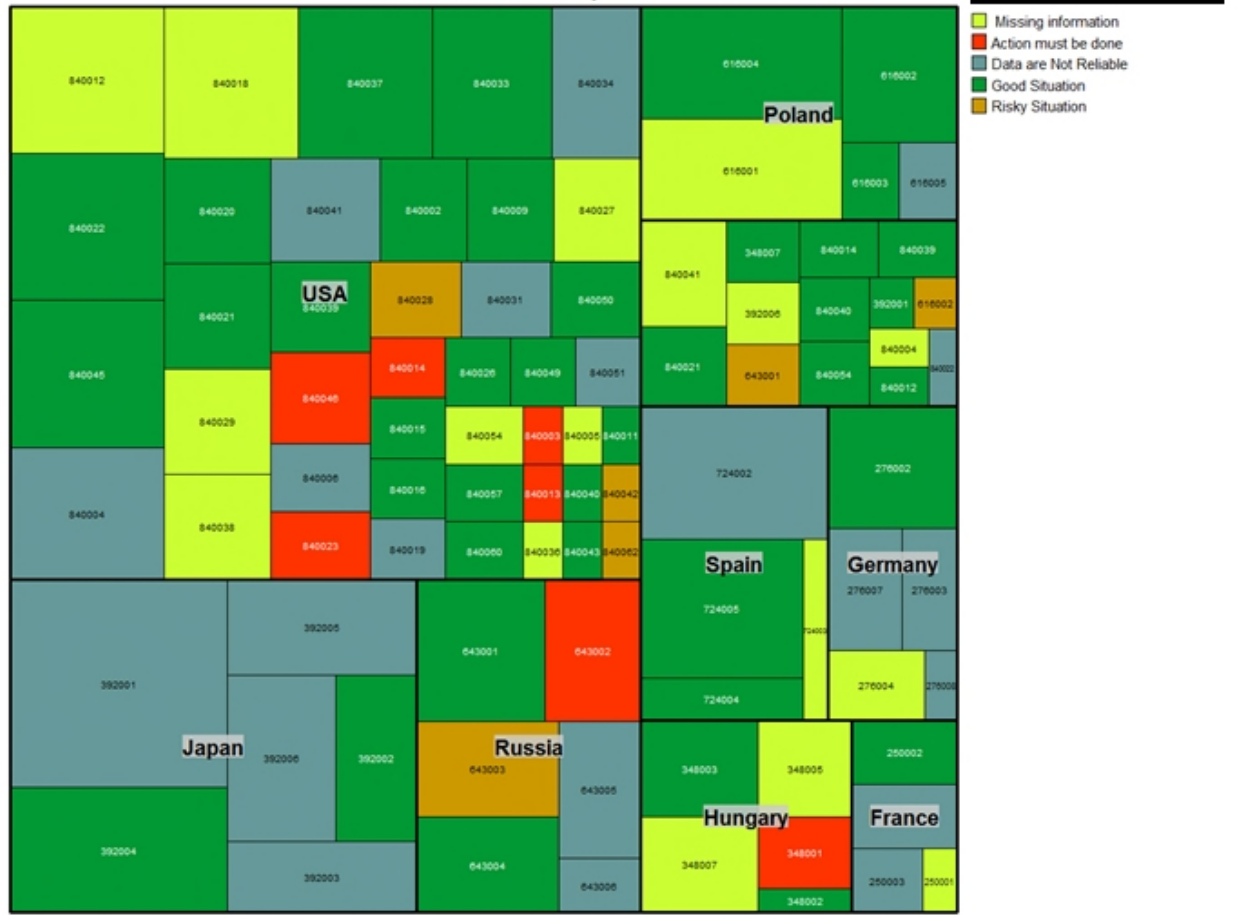


# Dashboard





### Overall State of Study



File Edit View Format Browse Window Advanced Help

Studies

- KA
  - KA201
  - KA202

Categories

- Patient Safety
- Enrollment
- Population
- Data Integrity
- Quality

Output Specifications

- Public
- RBM
- SAS OutputFilter
- STAT Program Reg
- Safety
  - AE Risk Assessment (Pro)
  - AE Risk Assessment - Se
  - AE by Treatment, Sex, F
  - AE Explorer Check (AE v
  - Kaplan Meier - Disc due t
  - Napoleons March AE, Do
  - Napoleons March AE, My
  - Risk Benefit (by Treatme
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  - Formatted Profile with D
  - Safety GPP - with Total E
  - Safety Graphic Patient P
  - Safety PGraphic Patient
  - AE & Conmed Patient Vis
  - Demography & Efficacy E

Order output specifications by:

- Type
- Description

Execute object:

- Review Output
- Scheduled Jobs

Risk Based Monitoring Data Browser

Filters

Row Parameter: SITEID

Column Parameter: Risk Indicator Label

Summary Table | TreeMap Chart

Sort by: Risk/Symbol | Weight | Show Weights | Overall - Show simple mean (risk level & weight) symbol

	AE Duration per Dose Exposure	Elevated Liver Enzymes	Fast Enrollment	Queries - % open	Queries - f
018					
030					
056					
063					
064					
065					
066					

Risk Based Monitor Timeline Graph

Selected: SITEID=066

Indicators in View: 5 | Units in View: 7 | Properties

- Queries - % open
- Queries - No. Open/Patient
- Slow/Delayed Enrollment
- Sunday/Holiday Visit

Formatted Patient Profile [066:1104]

Protocol: 201 | Case Report Tabulation 066-1104 | Date: 11/03/2014 | Time: 10:30

Demography

Age: 28 | Sex: Male | Race: White | Date of Birth: 27-APR-1983

Concomitant Medication

Drug Name | Dose | Frequency | Ongoing? | PRN | Start Date | Stop Date | Dosage Unit | Drug Code

Efficacy Evaluation

	Visit 1	Visit 2	Visit 3	Visit 4	Visit 5	Visit 6
Visit No.	1	2	3	4	5	6
Visit Date	19-AUG-1991	26-AUG-1991	03-SEP-1991	09-SEP-1991	16-SEP-1991	01-OCT-1991
Visit Label	BASELINE	DAY 8	DAY 15	DAY 22	DAY 29	DAY 43
Erythema	0	1	0	0	0	0
Pruritus	0	0	0	0	0	0
Scaling	2	2	1	1	0	0
Vesiculation	0	0	0	0	0	0
Evidence	1	0	0	0	0	0
Maculopapular	0	0	0	0	0	0
Pain	0	0	0	0	0	0
Burning	0	0	0	0	0	0
Fissures	0	0	0	0	0	0
Postules	0	0	0	0	0	0
Hyperkeratosis	2	2	1	1	1	1
Final						

Liver enzymes - range checks - All Patients

Pat ID	Treatment	Visit Label	ASAT (SGOT)	ALAT (SGPT)	Lactic Dehydrogenase	Gamma Glutl Transpeptidase	
1	2010661104	Active	BASELINE	22	20	118	21
2	2010661104	Active	DAY 29	30	17	166	15
3	2010661108	Active	BASELINE	26	47	124	145
4	2010661108	Active	DAY 29	42	73	150	102
5	2010661112	Placebo	BASELINE	17	17	127	14
6	2010661112	Placebo	DAY 29	31	30	137	16
7	2010661114	Active	BASELINE	19	18	116	19
8	2010661114	Active	DAY 29	27	18	138	21

# RISKS FACTORS

Deviation	<ul style="list-style-type: none"> <li>•Low Screen Failure rate</li> <li>•Low Dropout Rate</li> <li>•Low AE Reporting</li> </ul>
Inspection Weighting	<ul style="list-style-type: none"> <li>•Trial Priority</li> <li>•High Enroller</li> </ul>
Issues at Site	<ul style="list-style-type: none"> <li>•Re-Enrolled Patients</li> <li>•Non-I/E Protocol Deviation</li> <li>•Multiple Trials with the Sponsor</li> <li>• # I/E Protocol Deviation</li> <li>• High Screen Failure Rate</li> <li>• High Enrollment Rate</li> <li>• High Dropout Rate</li> </ul>
Monitoring	<ul style="list-style-type: none"> <li>•Low frequency of monitoring visits</li> <li>•Late Monitoring after FPFV</li> <li>•High site un-monitored duration</li> <li>•High CRA Workload</li> </ul>
Project delays	<ul style="list-style-type: none"> <li>•High SAE Reporting</li> <li>•Country</li> </ul>
Site Incident	<ul style="list-style-type: none"> <li>•Low SAE Reporting</li> <li>•Low Medical History Reporting</li> <li>•Low Concomitant Medication Reporting</li> <li>•High Site Start Time</li> <li>• High Queries per CRF</li> <li>• Low Queries per CFR</li> <li>• High Querie Time</li> <li>• Late Entry of CRF Data</li> </ul>
Monitoring Report Issues	<ul style="list-style-type: none"> <li>•Drug Accountability issue</li> <li>•Informed consent issue</li> <li>•Issues not closed</li> <li>• High monitoring issues</li> <li>• Low monitoring issues</li> </ul>

# KRIs



# Other Risks

- Role segmentation and Outsourcing
  - Sponsor
  - Investigators
  - CROs
  - Service Providers
  - Data Analyst, IT/IS, Biostatistician, DM
- Qualification and Training
- Time

# Summary

- Change Management
  - Coordination between actors
  - New skills
  - New job descriptions
  - New tools
  - Proactive/Adaptive instead of reactive Monitoring
- Process integration
  - Added Quality
  - More efficient use of ressources

# Thank you for your attention

Any  
questions ?

